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**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ernst **ESSWEIN** et al.

Application No. 10/566,624

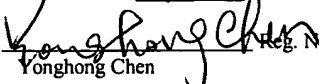
Filed: July 21, 2004

Docket No. 3926.237

For: **VALVE SEAT RINGS MADE OF BASIC CO OR CO/MO ALLOYS, AND  
PRODUCTION THEREOF**

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on July 7, 2006.

  
Yonghong Chen Reg. No. 56,150

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying form PTO/SB/08A and PTO/SB/08B are hereby brought to the attention of the Examiner for independent evaluation. The references were cited in the International Preliminary Examination Report. A copy of each foreign language reference is enclosed, along with English-language abstracts, which satisfies the requirement for a concise explanation of foreign language references. A copy of the International Preliminary Examination Report is also enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of form PTO/SB/08A and PTO/SB/08B be returned in accordance with MPEP §609.

Certification

This Statement is being filed prior to the issuance of the first Office Action for the present application, and this paper is thus submitted in accordance with 37 CFR 1.97(b).

In view of the above certification, a fee is not required for consideration of this document. Nevertheless, should a fee be deemed to be due by the Commissioner, such fee should be charged to Deposit Account No. 50-0951.

Respectfully submitted,

Dated: July 7, 2006

  
\_\_\_\_\_  
Yonghong Chen  
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Docket No. 3926.237

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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**Complete if Known**

Application Number	10/566,624
Filing Date	July 21, 2004
First Named Inventor	Ernst ESSWEIN et al.
Art Unit	
Examiner Name	
Attorney Docket Number	3926.237

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

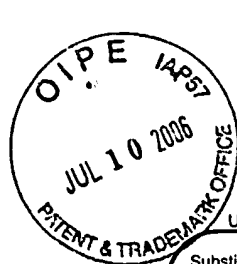
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		EP 0 338 204 A2	10-25-1989	TRW THOMPSON GMBH & CO. KG		
		EP 1 172 452 A2	01-16-2002	WINSERT, INC.		
		EP 0 227 634 A1	07-01-1987	TRW THOMPSON GMBH & CO. KG		
		DE 198 41 618 C2	12-14-2000	DAIMLERCHRYSLER AG		
		EP 0 927 816 A2	07-07-1999	FORD GLOBAL TECHNOLOGIES, INC.		
		JP 01138095 A	05-30-1989	SUMITOMO METAL IND LTD		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Art Unit	
Examiner Name	
Attorney Docket Number	3926.237

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		POPOOLA ET AL.: "Novel Powertrain Applications of Thermal Spray Coatings," Surface Engineering, 1998, pages 107-112, Vol. 14, No. 2, The Institute Of Materials, London	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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